

# Marked up copy of the Specification

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SYNTHESIS OF BIURETS AND ISOCYANATES WITH ALKOXYSILANE FUNCTIONS, FORMULATIONS CONTAINING SAME AND USE THEREOF

This application is a divisional of co-pending Application 10/579,808, which is an application under U.S.C. § 371 of International Application Number PCT/FR2004/003261, filed on December 16, 2004, which claims priority to French application 0315410, filed December 24, 2003.

invention . is subject matter of the present compositions exhibiting polyisocyanate and in particular alkoxysilane functional groups functional groups, the preparation compositions and the use of these compositions. Another subject matter of the invention is the synthesis of 10 monomers comprising both a silane functional group and an isocyanate functional group.

In order to place the present invention in industrial and semantic context, it is advisable to restate a certain number of points and to specify or recall a certain number of definitions.

Predominantly, polyisocyanate compositions are generally formed from derivatives resulting from the oligocondensation of individual di-, tri-, indeed even tetraisocyanate molecule(s).

Such a type of molecule is described as "monomers" and is capable of being obtained by phosgenation of a di(primary amine), optionally carrying one, indeed even two, other primary amine functional groups. Thus, such a molecule comprises a unit composed of a carbon chain carrying at least two nitrogens (originating from the diamine to be phosgenated), which unit will be denoted "diamino unit" in the continuation description. The diamino unit serves here as vestiges or mark of the existence, past or present, of an isocyanate monomer: thus, the diamino unit has the structure

35 · >N-R-N<

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where R represents a hydrocarbon radical which is the residue of an isocyanate monomer, after ignoring two isocyanate functional groups. Of course, R does not exhibit any of the functional groups created during

isocyanate functional group, oligomerization of an (including biuret), carbamate, urea the namely allophanate or biuret functional groups and those which are mentioned on the occasion of the description of the oligocondensation (including oligomerization). molecular weight of -R- is at most equal to 200. R can comprise another "amino" group in the case of the trifunctional monomers, such as LTI, NTI and UTI.

The "amino" symbols N< and >N mean that the nitrogen can be inserted into any functional group, such as isocyanate, amine, amide, imide or urea functional group, and in particular the functional groups generated by the oligomerization reactions.

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These diamino units are found in virtually all of the oligocondensations and in the vast majority of conversions of the isocyanate functional groups. This observation makes it possible to refer to the number of diamino units in order to indicate in particular the 20 oligocondensates condensation of the of indeed even οf oligomers), (including case of even in the polycondensates, and heterocondensates (in which cases, it is possible to have several types of diamino units). 25

According to the usage common in chemistry, when a functional group has given its name to a family of compounds, as is the case for the isocyanates, the aromatic or aliphatic nature is defined according to the point of attachment of the functional group under consideration. When an isocyanate is situated on a then the aliphatic nature, carbon οf itself considered to be of compound is nature. Likewise, when an isocyanate functional group is attached to the backbone via a carbon of aromatic nature, then the whole monomer will be denoted by the expression "aromatic isocyanate".

To clarify this point, it may be restated that:

- any isocyanate functional group having a point of attachment which is a member of an aromatic ring is regarded as aromatic;
- 5 any isocyanate functional group having a point of attachment (of the nitrogen, of course) which is a carbon of sp³ hybridization is regarded as aliphatic.

The following distinctions may be made among aliphatic
10 isocyanates:

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- Any aliphatic isocyanate functional group having a point of attachment separated from the closest ring by at most one carbon (it is even preferably directly connected to it) is regarded as cycloaliphatic.
- Any isocyanate functional group having a point of attachment carried by a secondary sp<sup>3</sup> carbon (that is to say, a carbon connected to two carbons and to a hydrogen) is regarded as secondary.
- 20 Any isocyanate functional group having a point of attachment carried by a tertiary sp<sup>3</sup> carbon (that is to say, a carbon connected to three carbons) is regarded as tertiary.
- Any isocyanate functional group having a point of attachment carried by an sp<sup>3</sup> carbon itself carried by a tertiary carbon (that is to say, not taking into account the final bond, a carbon connected to three carbons) is regarded as neopentylic.
- Any isocyanate functional group having a point of attachment carried by a methylene sensu stricto  $(-CH_2-)$  itself carried by an exocyclic and nontertiary  $\mathrm{sp}^3$  carbon is regarded as linear.

## **Brief Description of the Drawings**

Figure 1 is a chromatogram showing the distribution of the oligomers in Example 5.

Figure 2 is a chromatogram showing the distribution of the oligomers in Example 6.

As regards the monomers and for the present 35 description:

- "aliphatic" is understood to mean any monomer, all the isocyanate functional groups of which are aliphatic;
- "aromatic" is understood to mean any monomer, all

the isocyanate functional groups of which are aromatic;

"mixed" is understood to mean any monomer; one functional group at least of which is aliphatic and one functional group at least of which is aromatic;

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- "cycloaliphatic" is understood to mean any monomer, all the isocyanate functional groups of which are aliphatic and one at least of which is cycloaliphatic;
- understood to mean is "linear aliphatic" monomer, all the isocyanate functional groups of none of which are aliphatic, at least of which is cycloaliphatic and one exhibits at least one linear, which polymethylene sequence, free in rotation and thus exocyclic,  $(CH_2)_{\pi}$  where  $\pi$  represents an integer at least equal to two.
- 20 To explain in a little more detail, the isocyanate monomers can be:
  - aliphatic, including cycloaliphatic and arylaliphatic (or araliphatic), such as:
    - simple) aliphatic, linear (or • as diisocyanate monomers polymethylene exhibit one or more exocyclic polymethylene sequences  $(\text{CH}_2)_{\pi}$  where  $\pi$  represents an integer from 2 to 10, advantageously from 4 to 8, and in particular hexamethylene diisocyanate, it being possible for one of the methylenes to be substituted by a methyl or ethyl radical, with MPDI is the case as (methylpentamethylene diisocyanate);
    - as cyclic aliphatic (or cycloaliphatic): partially "neopentylic" and cycloaliphatic; isophorone diisocyanate (IPDI);
      - as cyclic aliphatic (cycloaliphatic) diisocyanate, those derived from norbornane or the hydrogenated forms (hydrogenation of

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the nucleus, resulting in a diaminated ring subsequently subjected to isocyanation, for example by phospenation) of the aromatic isocyanates;

- as araliphatic, arylenedialkylene diisocyanates (such as OCN-CH<sub>2</sub>-Φ-CH<sub>2</sub>-NCO; a portion of which is regarded as linear aliphatic, namely those having the isocyanate functional group separated from the aromatic nuclei by at least two carbons, such as (OCN-[CH<sub>2</sub>]<sub>t</sub>-Φ-[CH<sub>2</sub>]<sub>u</sub>-NCO) with t and u greater than 1;
- or also aromatic, such as toluylene diisocyanate, mentioned here as a matter of interest but the hydrogenated form of which, on the other hand, is regarded as cycloaliphatic and is advantageous, such as 1,3- and 1,4-BIC (BisIsocyanatomethylCyclohexane).

Generally, the molecular weight of a monomer does not exceed 300 and is at least equal to 100.

According to the present invention, it is desirable for linear aliphatic monomers to be used at least partially for the implementation of the present invention. To those which are mentioned above can also be added lysine derivatives and in particular LDI (Lysine DiIsocyanate, resulting from ester of lysine) or LTI (Lysine TriIsocyanate, resulting from the ester of lysine with ethanolamine), NTI (Nonyl TriIsocyanate OCN-(CH<sub>2</sub>)<sub>4</sub>-CH(CH<sub>2</sub>-NCO)-(CH<sub>2</sub>)<sub>3</sub>-NCO) or UTI (Undecyl TriIsocyanate OCN-(CH<sub>2</sub>)<sub>5</sub>-CH(-NCO)-(CH<sub>2</sub>)<sub>5</sub>-NCO).

The majority of these monomers have a vapor pressure which is too high to meet regulatory requirements relating to safety at work. Consequently, these molecules are increased in size by polycondensing them.

These condensations involve the isocyanate functional groups. As the "monomers" are polyfunctional with

regard to isocyanate, these condensations can take place on two or more isocyanate functional groups of the same molecule. It follows that these reactions can result in oligomers which are smaller or bigger in size depending on the degree of conversion of the isocyanates.

The main polycondensates will be restated below:

The derivatives obtained by "trimerization", that is to say that three isocyanate functional groups belonging to three different molecules are condensed to form an isocyanuric ring carrying three groups themselves carrying an isocyanate functional group.

The main units, functional groups or rings liable to be formed on the occasion of the trimerization may be restated:

isocyanuric ring

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4,6-dioxo-2-iminohexahydro-1,3,5-triazine ring

iminooxadiazinedione ring 2-imino-4-oxo-1,3-diazetidine uretidinedione

Another way of increasing the size of the molecule is to condense them with one another in the presence of water to form a derivative carrying three isocyanate functional groups which is denoted under the expression of biuret. The reaction below shows the reaction in the commonest case, that is to say the case where the three

molecules to be condensed are the same:

It is also possible to condense these monomers with alcohols, in particular polyols, which gives carbamate and then allophanate polyfunctional compounds.

$$R_{1}-N=0 + R'-O-H \longrightarrow R_{1}-N \longrightarrow O-R'$$

$$R_{2}-N \longrightarrow O-R'$$

$$R_{2}-N \longrightarrow O-R'$$

$$R_{2}-N \longrightarrow O-R'$$

$$R_{3}-N \longrightarrow O-R'$$

In the polyisocyanate compositions, in addition to the predominant polycondensates, more often than not minor amounts of various condensation types are encountered.

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The great majority of isocyanates were until recently essentially dissolved in organic solvents. The use of organic solvents is increasingly often subject to criticism by the authorities in charge of safety at work as these solvents, or at least some of them, are supposed to be toxic or chronically toxic. This is the reason why attempts are increasingly being made to develop technologies which comprise only a very small amount of solvent, indeed which are even devoid of solvent.

In particular, in order to reduce the use of organic solvent, the presence of which is supposed to be toxic to those who handle it and harmful to the environment,

the proposal has been made to develop isocyanate compositions which are less viscous. This lowering in viscosity makes it possible to reduce the amount of solvent and renders the compositions more capable of being emulsified.

Furthermore, the market is demanding a compound which makes it possible to carry out a twofold crosslinking and thus to produce a twofold or multiple functionality.

In addition, a search is always underway for compositions which make possible strong adhesion, with or without primer, or which are even capable of acting as primer.

A search is thus underway for an adhesion promoter.

Mention may in particular be made, among the most widely used oligomeric polyisocyanate compositions, of the oligomer mixture exhibiting a biuret unit and familiarly denoted by "biuret".

This biuret is currently produced by the action of water on isocyanate monomers in the presence of a very small amount of acid.

The degree of conversion of the monomer is of the order of 45%.

In the case where the monomer is hexamethylene diisocyanate, the viscosity of the product resulting from the synthesis after distillation of the monomer is of the order of 9000 mPa $\cdot$ s.

There are two significant disadvantages to this synthesis: the formation of insoluble ureides, which it is advisable to remove, and, on the other hand, the relatively high viscosity of the product after

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distillation of the monomer.

Generally, ureas often exhibit problems of insolubility, in particular with regard to compounds with a markedly lipophilic nature.

Furthermore, the market requires compositions exhibiting a high functionality.

10 Furthermore, a few isocyanate monomers comprising an alkoxysilane functional group are known. Mention may be made, by way of examples, of isocyanatopropyltrimethoxysilane and isocyanatopropyltriethoxysilane. These volatile monomers are classified as toxic.

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Polyisocyanates comprising alkoxysilane functional regarded as difficult to access, are particular when a high content of alkoxysilane groups is desired. They are generally obtained by grafting alkoxysilane molecules comprising a mobile hydrogen 20 functional group to the isocyanate functional groups of a polyisocyanate. Generally, the alkoxysilane molecules used are amines which, by reaction with the isocyanate functional groups of a polyisocyanate, 25 alkoxysilane polyureas which are generally solid and not very soluble in organic solvents. These compounds do not give homogeneous mixtures.

This low solubility in organic solvents has led users to graft only a portion of the isocyanate functional groups and to obtain alkoxysilane urea isocyanate hybrid compounds.

However, this partial grafting solution is not always advantageous as the control of the grafting is not easy and depends on the structures of the polyisocyanates used, and in particular nonspontaneous crystallization phenomena occur, resulting in crystallization of the alkoxysilane urea polyisocyanates during the storage of

formulations comprising these derivatives.

Furthermore, these alkoxysilane urea structures bring about increases in the viscosity of the formulations and thus require larger volumes of solvent in order to be able to be applied correctly. This increase in solvents is not or not very compatible with the step of reducing volatile organic discharges to the atmosphere.

10 This is why one of the aims of the present invention is to provide a process which reduces or eliminates the formation of insoluble ureides.

Another aim of the present invention is to provide a technology which makes it possible to render compatible isocyanate and silane and in particular alkoxysilanes.

Another aim of the present invention is to provide isocyanate compositions in which the isocyanate comprises a biuret group.

Another aim of the present invention is to provide a process which makes it possible to reduce the viscosity the final composition for the same degree 25 conversion of the monomer(s), the measurement of the viscosity being carried out under conditions, after removal of the residual monomer(s) (the compositions targeted exhibit, by weight, at most 1%, advantageously at most 0.5%, preferably at most 30 more preferably at most 0.1%). For measurement of the viscosity, see Standard NFT 30-029 (October 1980).

Another aim of the present invention is to provide compositions comprising a biuret group which exhibit a reduced viscosity.

Another aim of the present invention is to provide polyisocyanate compositions of high functionality which

can be used alone or as a mixture with others.

Another aim of the present invention is to provide polyisocyanate compositions having a twofold or multiple functionality which makes it possible to carry out a twofold crosslinking.

Another aim of the present invention is to provide polyisocyanate compositions a strong adhesion, with or without primer, or which are even capable of acting as primer.

These aims and others which will become apparent subsequently are achieved by means of a composition comprising at least two distinct oligomeric compounds comprising at least three units and at most 5 (to limit perhaps at 4) units chosen from aminoalkylsilane units and diamino units and at least one functional group chosen from isocyanate functional groups and from those which derive therefrom, characterized in that one of said compounds comprises at least two aminoalkylsilane units and in that another comprises at least two diamino units.

25 Advantageously, these compositions do not comprise monomer; see above with regard to the measurement of the viscosity.

In said composition, it is desirable for there to be as 30 true urea functional group as possible; advantageously, the ratio of the true urea functional (numerator) groups to the acylurea and functional groups (denominator) is at most equal to advantageously to 1/3, preferably to 1/5, more 35 preferably to 1/10. Such ratios are readily accessible by spectroscopic measurements.

When there is no biuret or acylurea, the content of true urea functional group is advantageously at most

equal to 1% of the isocyanate (NCO) functional groups, preferably to 0.5%.

The following unit is regarded as true urea functional group:

-NH-CO-N<, in which the bonds of the nitrogens left open are connected only to hydrogen and/or to an aliphatic radical.

- 10 It is desirable, with regard to the combined composition, for the ratio in equivalents of the aminoalkylsilane units to the diamino units to be at least equal to 15%.
- 15 Generally, said oligomeric compounds each represent at least 3%, advantageously at least 5%, preferably at least 8%, by weight of the composition.

Furthermore, it is desirable for said oligomeric compounds to represent, for each category, at most 2/3, advantageously, preferably 1/3, by weight of the composition.

According to a preferred implementation of the present 25 invention composition as claimed in claims 1 to characterized in that, with regard to the combined oligomeric compounds (that is to say, oligomeric compounds comprising at least three units and at most 5 units chosen from aminoalkylsilane units and diamino 30 units and at least one functional group chosen from isocyanate functional groups and from those which therefrom), derive the compounds in which aminoalkylsilane units represent at least two fifths of the units under consideration (aminoalkylsilane units 35 and diamino units) form at least 1/5 of the mixture.

said functional groups which derive from the isocyanate functional groups are advantageously chosen from the carbamate, uretidinedione, isocyanurate, biuret,

allophanate, pseudoallophanate, 4,6-dioxo-2-iminohexahydro-1,3,5-triazine, iminooxadiazinedione and 2-imino-4-oxo-1,3-diazetidine functional groups.

5 composition as claimed in claims 1 to 6, characterized in that said aminoalkylsilane unit corresponds to the formula (I):

$$H_2N - R1 = Si (R_2)m$$
(X- R<sub>3</sub>)n

where  $\Xi$  represents either a single bond or a chalcogen, 10 preferably an oxygen.

Advantageously, said compounds are compounds comprising a biuret functional group.

Advantageously, the composition exhibits a content of biuret functional group (>N-CO-N(-)-CO-N<, MW = 84) at least equal to 5%, advantageously to 8%, preferably to 10%. This content of biuret functional group (>N-CO-N(-)-CO-N<, MW = 84) is at most equal to 20%, advantageously to 18%, preferably to 16%.

The composition exhibits a total content of isocyanate functional group (free and blocked) at least equal to 5%, advantageously to 8%, preferably to 10%, more preferably to 12%.

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According to one implementation of the present invention, the composition exhibits a content of free isocyanate functional group at least equal to 5%, advantageously to 8%, preferably to 10%, more preferably to 12%.

According to one implementation of the present invention, the composition exhibits a content of blocked isocyanate functional group at least equal to 5%, advantageously to 8%, preferably to 10%, more

preferably to 12%.

According to the present invention, the composition (with unblocked isocyanate functional groups) can exhibit a viscosity at most equal to 6000 mPa·s, advantageously to 4000 mPa·s, preferably to 3000 mPa·s, which is remarkable for biuret-based polyisocyanate compositions.

The composition comprises at most 2%, advantageously at most 1%, preferably at most 0.5%, by weight of isocyanate monomer(s) (generally diisocyanatoalkane).

Furthermore, according to an advantageous embodiment of the invention, the composition can comprise at most 2%, advantageously at most 1%, preferably at most 0.5%, by weight of isocyanatoalkylsilane (corresponding to the aminoalkylsilane).

Another aim of the present invention is to provide a 20 process for the preparation of an isocyanate comprising composition biuret functional groups, characterized in that at least one isocyanate monomer is brought into contact with an aminoalkylsilane (or 25 silanoalkylamine) so that an isocyanatoalkylsilane is formed.

The process is based on the result of the study of the equilibria set out below. This process makes it possible to prepare biuret without the addition of water and while producing, as potential by-product, an isocyanatosilyl derivative (isocyanatoalkoxysilane) which is difficult to manufacture (see above), in particular of formula Ib

$$O=C=N-R1 = Si (R_2)m$$
(X- R<sub>3</sub>)n

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The reaction with the amine begins as follows:

5 then

relatively slow

where R represents an amine-carrying radical and in particular  $(R_2)m$   $-\pi R1-\Xi-Si$   $(X-R_2)n$ 

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where R' represents the residue of an isocyanate after ignoring one isocyanate functional group.

15 However, the reversible formation of the biuret can result in the formation of the isocyanate on the radical of the amine if the reaction mixture is heated sufficiently for a long enough period of time:

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This reaction, which is promoted by the relative volatility of the silyl radical, makes it possible to obtain all the alternative forms of the biuret, if the reaction is carried out at reflux, or the isocyanate

instead of the starting amine functional group, if the R-NCO formed is distilled off.

This equilibrating of the composition via the exchange with the free monomers results in the formation (with respect to the combined biuret functional groups) of at least 5%, advantageously 7%, preferably 10%, of biuret functional groups which do not carry a silanoalkyl chain. This stabilizes the composition, rendering it more homogeneous.

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In order for this equilibrating to take place under satisfactory conditions, it is desirable for the ratio, expressed in equivalents, of the isocyanate functional groups to the number of hydrogens carried by the amine functional groups to be at least 4, advantageously at least 6, preferably at least eight.

It is also desirable to be positioned at a high 20 temperature of at least 140°C, advantageously 150°C, preferably at 160°C, and/or to use biuretization catalysts, such as strong and/or moderate acids.

More specifically, the present invention comprises the polyisocyanate compositions, preparation of polyisocyanates comprising acyl or carbamoyl urea units least one  $(R_3-X)_{3-m}Si(R_2)_m$ and comprising at according to a process which employs a obtained compound comprising at least one isocyanate functional group, preferably at least two isocyanate functional groups, with a compound comprising at least one primary or secondary amine functional group and/or optionally a comprising at one carboxylic least compound functional group. The process is characterized by a single-stage reaction with an excess of isocyanate compounds with respect to the amine introduced at a of between 100 and 200°C, preferably temperature between 110°C and 180°C. The reaction time is between 1 and 10 hours and the excess monomer is subsequently removed by thin film distillation so that the final product comprises a content of HDI monomer of less than 2%, preferably less than 1%.

5 The degree of conversion of isocyanate is generally set by the amount of amines introduced.

The content of oligomers in the compositions varies with the ratio of isocyanate functional groups to amine functional groups.

Generally, the structures which are subject matters of the invention give relatively low viscosities due to the formation of intramolecular hydrogen bonds in the biuret and/or acylurea units.

Generally, the biuret compositions comprise the structures which can be represented schematically by the following general formula:

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 $A-(NCO)_z-(Si(R_2)_m(X-R_3)_n)_y$ 

with

A representing the residue of a backbone comprising biuret and/or acylurea structures;

z representing a number between 0 and 30;

y representing a number between 1 and 30;

m representing an integer within the closed range (that is to say comprising the limits) 0 to 3, advantageously

30 at least equal to 2, preferably to 3;

n representing an integer within the closed range (that is to say comprising the limits) 0 to 3, advantageously at most equal to 2;

with the condition that m+n=3;

35 R<sub>2</sub> representing a linear or branched hydrocarbon chain of 1 to 20 carbon atoms, preferably of 1 to 12 carbon atoms, it being possible for this hydrocarbon chain to be aliphatic, including aralkyl, or aromatic, optionally interrupted by heteroatoms, it being

possible for the  $R_2$  chain to be of alkylene type if the two terminal carbons of this chain are bonded to the silicon;

R<sub>3</sub> representing a linear or branched hydrocarbon chain of 1 to 20 carbon atoms, preferably of 1 to 12 carbon atoms, it being possible for this hydrocarbon chain to be aliphatic or aromatic or aralkyl, optionally interrupted by heteroatoms, it being possible for the R<sub>3</sub> chain to be of alkylene type if the two terminal carbons of this chain are bonded to two groups X carried by the same silicon atom; X = O or S.

Mention may be made, as nonlimiting examples of the general structure, of the following structures:

- structures comprising biuret units, hereinafter recorded from 1 to 5
- acylurea structures, recorded from 6 to 10
- 20 Structure 1: "True" biuret comprising two isocyanate units and one alkoxysilane unit, the amine functional group inserted in the biuret carrying a group Y which can be hydrogen or a linear or branched hydrocarbon chain of 1 to 20 carbon atoms which is aliphatic, including araliphatic, or aromatic, optionally interrupted by heteroatoms.

Structure 1 Structure 2

OCN-R-NCO

$$R$$
-NCO

 $R$ -NCO

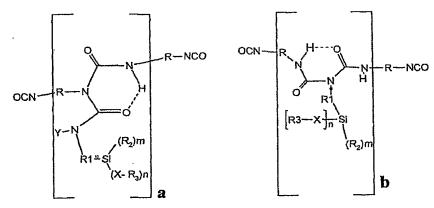
with Y representing a hydrogen or a hydrocarbon chain and \* a hydrogen bond

<u>Structure 2</u>: "True" biuret comprising two isocyanate units and one alkoxysilane unit, the amine functional group inserted in the biuret carrying a group Y which can only be hydrogen.

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Polybiuret structures comprising 2 NCO functionalities: products of the oligomerization of the structures 1 and/or 2 to result in oligomeric sequences comprising from 2 to 20 units. These sequences can be represented schematically as follows, the distribution of the structures being random along the chain.



20 with Y representing a hydrogen or a hydrocarbon chain, representing a hydrogen bond and with "a" and "b" representing a value ranging from 2 to 20

with c+d between 2 and 20

The compositions also comprise biuret structures comprising an isocyanate unit and two alkoxysilane units (structure 3) and much smaller amounts of biuret structures composed of 3 alkoxysilane units (structure 4). The latter structures are only present under certain conditions.

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Structure 3 can also be incorporated in polybiuret sequences presented above and, in this case, constitute chain-limiting agents (blocking of the terminal ends of chains) due to their isocyanate monovalency.

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The presence of these structures 3 and 4 is explained by the conditions of the process involved and is based on a transisocyanation reaction which can be accelerated by the temperature and by the catalyst selected.

<u>Structure</u> 3: Biuret comprising two alkoxysilane functional groups and one isocyanate unit.

Structure 4: Biuret comprising three alkoxysilane functional groups

The composition also comprises sequences with a functionality of greater than 2, even if a diisocyanate is used alone in the reaction with a monoaminoalkylsilane. These sequences are the consequence of the process involved.

Structure 5 represents an example of polybiuret 15 sequences with a functionality of greater than 2.

Structure 5: example of polybiuret sequence with a
functionality of greater than 2

## with

- R the residue of an isocyanate or polyisocyanate molecule carrying at least one isocyanate functional group,
  - R<sub>1</sub> the residue of a molecule carrying at least one silane functional group, generally a linear or branched hydrocarbon chain of 2 to 20 carbon atoms, preferably of 3 to 12 carbon atoms, it being possible for this hydrocarbon chain to be aliphatic or aromatic or aralkyl, optionally interrupted by heteroatoms,
- $R_2$  and  $R_3$ , which are identical or different, defined as above,
  - n between 1 and 3 and n+m=3,
  - $\qquad X = O \text{ or } S.$

## Structures comprising acylurea units:

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The structures comprising acylurea units can be written as for the biuret structures, except that the  $R_4-C(=0)-$  unit replaces the R-NH-C(=0)- unit in the biuret structures.

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The following acylurea structures 7 to 10 are presented

by way of examples. The polyacylurea sequences are not represented but are analogous to the polybiuret sequences.

# 5 Acylurea structures 7 to 9

$$R_4$$
 $R_4$ 
 $R_4$ 

with

- R<sub>4</sub> representing the residue of a molecule carrying at least one carboxyl functional group which has reacted to give the N-acylurea functional group.

In the case of a diacid  $(R_4\text{-}(\text{COOH})_2)$ , the acylurea structure then becomes

15 <u>Structure 10:</u> example of bisacylurea structure comprising alkoxysilane units

$$R_1$$
 $R_1$ 
 $R_2$ 
 $R_4$ 
 $R_5$ 
 $R_4$ 
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 $R_8$ 

Aside from these structures 1 to 10, the compositions also comprise oligomeric or polymeric molecules comprising sequences comprising the units described above.

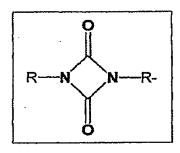
The compositions can also comprise structures comprising the following units:

Allophanate:  $-R-N[-C(=O)-NH-R-NCO]-C(=O)-O-R_5$ 

Carbamate:  $-R-NH-C (=O) -O-R_5$ 

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15 Urea: -R-NH-C (=O)  $-NH-R_6-$  or -R-NH-C (=O)  $-N-(R_6)$  ( $R_7$ ) Uretidinedione:



Isocyanurate:

Oxadiazinetrione:

5 Iminotrimer:

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The synthesis of these products comprises the reaction of a compound comprising at least one isocyanate functional group, preferably at least two isocyanate functional groups, with a compound comprising at least one primary and/or secondary amine functional group and at least one alkoxydialkylsilane functional group and/or one alkyldialkoxysilane functional group and/or one trialkoxysilane functional group in the presence optionally of a compound comprising at least one carboxylic acid functional group and of a catalyst. The

reaction can be carried out in the presence or in the absence of solvent.

The isocyanate compounds used comprise at least two and at most 10 isocyanate functional groups and preferably at most 4. They can be aliphatic or cycloaliphatic.

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Mixtures of polyisocyanate compounds can also be used. the case of polyisocyanate mixtures, comprising only a single isocyanate functional group per mole of compound can be incorporated but their content of isocyanate functional groups then does not exceed 50 mol%, preferably 25 mol%, of the isocyanate of the mixture. Polyisocyanate functional groups compounds comprising more than 3 isocyanate functional groups and less than 25 can also be incorporated in the mixture but their content of isocyanate functional groups does not exceed 50 mol% of the isocyanate functional groups of the mixture and preferably does not exceed 25 mol%.

Mention may be made, as nonlimiting examples of aliphatic isocyanate compounds, of diisocyanates, such as hexamethylene diisocyanate (HDI), 2-methylpentane diisocyanate (MPDI), dodecane diisocyanate (DDI), or the methyl or ethyl ester or esters of heavier alcohols of lysine diisocyanate (LDI), or triisocyanates, such as the isocyanatoethyl ester of lysine diisocyanate (LTI) or 4-isocyanatomethyl-1,8-octamethylene diisocyanate (TTI).

Mention may be made, as nonlimiting examples of cycloaliphatic isocyanate compounds, of diisocyanates, such as norbornane diisocyanate (NBDI), bis(isocyanatomethyl)cyclohexane (BIC) or isophorone diisocyanate (IPDI).

Mention may be made, as nonlimiting examples of monoisocyanate compounds, of butyl isocyanate,

Mention may be made, as nonlimiting examples of polyisocyanate compounds, of the isocyanurate oligomers of HDI or of IPDI.

The compounds carrying at least one primary and/or secondary amine functional group used for the reaction have the following structures:

 $Y-NH-R_5-Si(R_3-X)_{3-m}(R_2)_m$  or  $Y-NH-R_6-N(-Y)-R_5-Si(R_3-X)_{3-m}(R_2)_m$  or  $R_6-[(NH-R_5-Si(R_3-X)_{3-m}(R_2)_m)]_z$ 

15 with

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- Y = H or a linear or branched hydrocarbon chain of 1 to 20 carbon atoms which is aliphatic or aromatic or araliphatic and which is optionally interrupted by heteroatoms,
- 20  $R_5$  a linear or branched hydrocarbon chain of 1 to 20 carbon atoms which is aliphatic or aromatic or araliphatic and which is optionally interrupted by heteroatoms;  $R_5$  is preferably an aliphatic hydrocarbon chain of 1 to 8 carbon atoms,
- 25  $R_6$  a linear or branched hydrocarbon alkylene chain of 1 to 20 carbon atoms which is aliphatic or aromatic or araliphatic and which is optionally interrupted by heteroatoms,
  - z = 2 to 6, preferably z = 2.

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In the specific case of the preparation of acylureas, it is possible to use all or part of the compounds carrying at least one primary and/or secondary amine functional group and at least one silane functional group in the form of a salt of the compound carrying at least one carboxylic acid functional group.

The compounds carrying at least one carboxylic acid functional group are aliphatic or aromatic or

heterocyclic compounds. They comprise at least one carboxylic acid functional group, at most 6, preferably at most 2. The carbon number is between 2 and 20, preferably between 2 and 12.

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Mention may be made,

as nonlimiting examples of compounds comprising at least one carboxyl functional group, of

acetic acid, propionic acid, isobutyric acid, pivalic acid, benzoic acid, 2-ethylhexanoic acid, undecanoic acid, stearic acid and their branched homologs,

as nonlimiting examples of compounds comprising at least two carboxyl functional groups, of adipic acid, dodecanedioic acid, undecanedioic acid, glutaric acid

15 and their branched homologs.

The process for the synthesis of the polybiurets comprises:

- introducing an isocyanate, preferably a diisocyanate, or a mixture of isocyanates into a reactor,
  - optionally adding a biuretization catalyst, such as a carboxylic acid or a Lewis acid, such as dibutyltin dilaurate,
- 25 heating this mixture of 110°C,
  - adding, to this mixture, a compound carrying at least one primary or secondary amine functional group and carrying a silane functional group, or a mixture of these amines,
- 30 maintaining the reaction medium at a temperature of between 100 and 200°C, preferably between 110°C and 160°C, for a time of between 1 and 5 hours,
  - removing the unreacted monomer by a suitable process, such as vacuum distillation on a thin film device,
  - recovering the polybiuret product comprising alkoxysilane units and comprising isocyanate units.

An alternative form of the process comprises adding the amine to the isocyanate under cold conditions and subsequently heating to a temperature of between 100 and 200°C, preferably between 110 and 160°C, for a time of between 1 and 5 hours.

Another alternative form of the process comprises adding the isocyanate to the amine or to the mixture of amines comprising silane functional groups and raising the reaction temperature until reaction is obtained at a temperature of between 100 and 200°C, preferably between 110 and 160°C, for a time of between 1 and 5 hours.

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15 The isocyanate functional groups/amine functional groups ratio is between 2 and 50, preferably between 4 and 25.

form of Another alternative the process 20 synthesis of polybiurets comprises reacting a compound comprising at least one isocyanate functional group compound carrying at least alkoxydialkylsilane functional group and/or one alkyldialkoxysilane functional group and/or trialkoxysilane functional group and at least one urea 25 or thiourea functional group. The reaction medium is maintained at a temperature of between 100 and 200°C, preferably between 110 and 160°C, for a time of between 1 and 5 hours, in the optional presence of a catalyst chosen from carboxylic acids and/or Lewis acids. 30

The structures obtained can be written as follows:

$$(R_2)m$$
 $(R_2)m$ 
 $(R_3)_3-m$ 
 $(R_3)_3-m$ 
 $(R_2)m$ 
 $(R_3)_3-m$ 
 $($ 

The compounds carrying at least one alkoxydialkylsilane functional group and/or one alkyldialkoxysilane functional group and/or one trialkoxysilane functional group and at least one urea functional group have the formulae:

 $(Y)_2-N-C (=Z)-N (-Y)-R_5-Si (R_3-X)_{3-m} (R_2)_m$  or  $C (=Z)-[(NY-R_5-Si (R_3-X)_{3-m} (R_2)_m)]_2$ 

10 with Y as defined above and at least one of the Y groups is equal to H, it being possible for Y to be an optionally substituted alkylene chain bridging the two nitrogens of the urea,

 $A-N(Y)_{d}-C(=Z)-N(Y)_{-R_{5}}-Si(R_{3}-X)_{3-m}(R_{2})_{m}$ 

15 with

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A representing the residue of a hydrocarbon backbone comprising at least one primary or secondary amine functional group inserted in a urea or thiourea bond, with Y as defined above and at least one of the Y groups is equal to H, it being possible for Y to be an optionally substituted alkylene chain bridging the two nitrogens of the urea,

Z = O or S.

25 Mention may be made, as nonlimiting examples of ureas, of aminocarbonyl amino 1 propyltrimethoxysilane, amino carbonyl amino 1 propyl triethoxysilane, N 2 (propyl

trimethoxysilane) imidazolidin 1 one or N 2 (propyl triethoxysilane) imidazolidin 1 one.

The process for the synthesis of the polyacylurea 5 biurets comprises

- adding, to the starting isocyanate or to the starting polyisocyanate mixture, a compound carrying at least one carboxylic acid functional group,
- 10 raising the temperature to a value of approximately 100°C, plus or minus 20°C,
  - adding, to this reaction medium, a compound carrying at least one primary or secondary amine functional group and carrying at least one silane functional group, or a mixture of these amines,
  - maintaining the reaction medium at a temperature of between 100 and 200°C, preferably between 110°C and 160°C, for a time of between 1 and 5 hours,
- removing the unreacted monomer by a suitable process, such as vacuum distillation on a thin film device,
  - recovering the polyacylurea biuret product comprising alkoxysilane units and comprising isocyanate units.

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An alternative form of the process comprises adding the amine to the isocyanate and to the acid compound under cold conditions and subsequently heating to a temperature of between 100 and 200°C, preferably between 110°C and 160°C, for a time of between 1 and 5 hours.

Another alternative form of the process comprises adding the isocyanate to the amine or to the amine carboxylate or mixture of amines comprising silane functional groups and raising the reaction temperature until reaction is obtained at a temperature of between 100 and 200°C, preferably between 110 and 160°C, for a time of between 1 and 5 hours.

The ratio of carboxyl functional groups to isocyanate functional groups at the start is between 1/20 and 1/4.

Generally, the NCO/nucleophiles (COOH + amines) ratio is between 1 and 50, preferably between 2 and 25. 5

The degree of conversion of the isocyanate monomers on the NCO/amines and NCO/COOH ratio. depends greater the NCO/nucleophiles ratio, the lower degree of conversion of isocyanate functional groups.

Surprisingly, in comparison with conventional biurets obtained from hexamethylene diisocyanate (HDI) and for conversion of isocyanate comparable degrees of functional groups, the compounds which are matter of the invention are characterized by a lower is an advantageous component viscosity, which reducing the volatile organic compounds discharged to the atmosphere. Thus, some biurets obtained from HDI and 1 aminopropyltriethoxysilane exhibit a viscosity of 20 2570 mPa·s at 25°C for a degree of conversion of the order of 45%, whereas HDI biurets result in viscosities the order of 9000 mPa·s at 25°C for comparable degrees of conversion.

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viscosity of the compounds which are subject matters of the invention very clearly depends on the isocyanate monomer used, aliphatic compounds generally higher viscosities than short-chain (4 to 10 chain members) aliphatic isocyanate derivatives.

The compounds of the invention obtained by the process are characterized by:

- the presence of least one biuret at acylurea bond,
  - and an NCO content of between 0 and 20% by weight of NCO per 100 g of solution, preferably of between 1 and 19%,
  - and a content of  $Si-X-R_3$  units, expressed as % by

weight of silicon (Si), of between 0.1% and 17.5%, preferably of between 0.5% and 13%, and an oligomeric distribution.

- 5 The compounds of the invention exhibit a twofold reactivity, the reactivity of the isocyanate functional groups and the reactivity of the alkoxysilane functional groups.
- The compounds of the invention can thus exhibit very broad ranges of functionality according to the compounds involved and according to the NCO/nucleophiles (amine, urea, amides) ratio involved.
- Thus, aminoalkyltrialkoxysilanes, monomeric compounds possessing three potentially reactive alkoxysilane functional groups (functionality 3), rapidly result in compounds having a high functionality. Thus, true biuret compounds of structure 1 and 2 exhibit a potential functionality of 5 (two isocyanate functional groups and three alkoxysilane functional groups).

The tris biuret comprising three biuret units will have a functionality of 11 (two isocyanate functional groups and 3 x 3 alkoxysilane functional groups).

It is thus difficult to calculate the mean functionality of the crosslinking composition insofar as each alkoxysilane group constitutes a potential site of reaction.

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The compositions are characterized by a distribution composed of at least one of the following compounds:

- mixed true biuret and/or mixed true acylurea 35 compounds of the invention comprising at least one silane functional group devoid of free isocyanate functional group,
  - and/or mixed true biuret and/or mixed true acylurea compounds of the invention comprising at

least one silane functional group and at least one free isocyanate functional group,

- and/or polybiuret and/or acylurea compounds of the invention comprising at least one silane functional group devoid of free isocyanate functional group,
- and/or true polybiuret and/or true polyacylurea compounds of the invention comprising at least one silane functional group and at least one free isocyanate functional group.

Optionally, the composition comprises isocyanates or polyisocyanates not comprising silane units, namely:

- starting mixture of isocyanates or isocyanate and alkyl carbamate compounds,
  - and optionally starting mixture of isocyanates or isocyanate and alkyl allophanate compounds,
  - isocyanate isocyanurate compounds,
  - biuret compounds.

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The term "true biuret" is understood to mean the reaction product of two isocyanate functional groups with an amine functional group. The term "mixed true biuret" is understood to mean the preceding compound, the backbone of which carrying the amine functional group is different from the backbone of which carrying the isocyanate functional groups.

subject matters The compounds which are 30 invention can be used for the synthesis of functional derivatives or the preparation of compositions mastics or for coatings applied to organic or inorganic surfaces (metal, plastics, wood, cloth, and the like) for decorative, functional and/or protective purposes, as coupling agents between 35 surface and a functional organic inorganic compound.

The compounds of the present invention can also be

incorporated in the manufacture of materials based on polyurethanes (foams), on elastomers, on fibers or on rubber.

5 The fields of application are thus very diverse (paints, varnishes, adhesives, tires, and the like) and relate just as well to interior applications as to exterior applications (exposed to natural light) or applications exposed to specific media (materials immersed in water, and the like).

These compounds can also be used to modify the surface properties of coatings (hydrophobization, hardness, and the like).

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The compounds of the invention exhibit low coloring indices of less than 200 Hazen.

The isocyanate functional groups carried by the compounds of the invention of the final mixture can be definitively or temporarily and completely or partially functionalized by various nucleophilic components which can be chosen from:

- alkoxysilanes comprising nucleophilic functional
   groups, such as, for example, amino- or thioalkyltrialkoxysilanes,
  - hydroxyalkyl acrylates,
  - chain extenders, such as diamines, diols or polyols,
- agents for temporarily blocking the isocyanate functional groups which are well known to a person skilled in the art, such as oximes, pyrazoles, triazoles, imidazoles, lactams or ketoesters, it being possible for all these compounds to carry one or more substituents. Mention may thus be made, as nonlimiting examples, of methyl ethyl ketoxime, 3,5-dimethylpyrazole, ε-caprolactam, and the like.

Some of these derivatives constitute compounds possessing a twofold reactivity. Thus, mention may be made, as nonlimiting examples, of the compounds of the invention in which the isocyanate functional groups are blocked by a thermolabile temporary blocking agent and the compounds of the invention in which the isocyanate functional groups are functionalized by acrylate or methacrylate derivatives.

10 The compositions which are a subject matter of the invention can be used

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- to react with the hydroxyl functional groups of polyol polymers, such as cellulose, guars or wood, in order to confer various properties, such as water repellency, thereon,
- to react with functional groups comprising a mobile hydrogen of polyols, such as the hydroxyl functional groups of a polyol polymer and/or the amine or thiol or carboxyl functional groups of polymers, in order to obtain polyurethane and/or polyurea and/or polythiourethane and/or polyamide coatings,
- as additives for coating, varnish or adhesive formulations, in order to contribute particular properties, such as, for example, the lowering of surface tension,
- to react with hydroxyl or silanol functional groups of inorganic compounds, such as silica or titanium dioxide or zirconia,
- 30 to react with other silane compounds carrying at least one alkoxysilane functional group, such as epoxyalkyltrialkoxysilanes, alkyltrialkoxysilanes, tetraalkoxysilanes, and the like.
- 35 These compounds can be reacted in the organic phase or in the aqueous phase.

In the case of coatings of polyurethane or polyurea type, the coproducts of reaction with the compounds of

the invention can be:

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- acrylic poly(thi)ols derived from the polymerization of monomeric compounds carrying activated double bonds, such as (cyclo)alkyl or hydroxyalkyl acrylates or methacrylates,
- acrylic polyamines,
- polyester polyamine or poly(thi)ol polymers resulting from the polycondensation of a diacid or diester or carbonate with a diol or an aminoalcohol,
- polycarbonate poly(thi)ol polymers,
- polysiloxane compounds comprising alkyl units carrying hydroxyl and/or amino and/or thio functional groups polyamines,
- 15 polyethers carrying hydroxyl and/or amine and/or thiol functional groups hydroxyl functional groups,
  - polyprene compounds comprising hydroxyl or carboxylic acid functional groups,
- 20 alkoxysilanes,
  - or polymeric compounds comprising temporarily blocked hydroxyl, thiol or amine functional groups. Mention may be made, as examples of these blocked functional groups, of imines, dioxolanes, acetals, and the like.

The synthesis of these polymers and the constituent monomers of these polymers are widely known to a person skilled in the art. Mention may be made, as examples of monomers carrying double bonds, of n-butyl, cyclohexyl, 30 methyl, isopropyl tert-butyl acrylates or methacrylates, acrylamide and methacrylamide as well as N-alkylated derivatives, their acrylic acid and methacrylic acid, styrene, butadiene vinyl or 35 derivatives.

Mention may be made, as nonlimiting examples of monomers of the polycondensation reaction, of adipic acid, succinic acid, glutaric acid, dodecanedioic acid,

phthalic acid, esters of these diacids, alkylene carbonates, such as methylcarbonate, ethylcarbonate, propylenecarbonate or ethylenecarbonate, or diols, such as butanediols, hexanediols, cyclohexanediols, and the like.

Mention may be made, as examples of polyether compounds or epoxy polymers, of ethylene oxide or propylene oxide.

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Compounds such as various fillers, catalysts, rheology additives or pigments can be added to the formulations in order to introduce the desired properties.

15 The following examples are representative of the invention.

#### Analytical methods and definitions:

20 A compound comprising a mobile hydrogen, the addition compound of which with a linear aliphatic isocyanate functional group exhibits a release temperature at most equal to 180°C, is regarded as a blocking agent.

#### 25 TEST WITH OCTANOL - definitions

"Release" (or "unblocking") temperature:

This is the lowest temperature at which the blocking agent of the blocked isocyanate is displaced to a level of 9/10 (mathematically rounded) by a primary monoalcohol (the primary alcohol is generally octanol).

Lifetime on storage:

To ensure a good lifetime on storage, it is preferable to choose blocked isocyanate functional groups for which

the test with octanol shows a "release" at 80°C, advantageously at 90°C, at most equal to 90%.

Progress of the reaction:

The reaction is regarded as complete if it is carried out to more than 90%.

#### PROCEDURE

Approximately 5 mmol as protected blocked NCO 5 equivalent to be evaluated are charged to a tube of Schott type with magnetic stirring.

2.5 to 3 ml of 1,2-dichlorobenzene (solvent) and the equivalent of 1-octanol (5 mmol, i.e. 0.61 g and optionally with the catalyst to be tested with the blocking group) are added.

The reaction medium is subsequently brought to the test temperature. Heating is then carried out at the test temperature for 6 h, so as to deblock and thus render reactive the isocyanate functional groups. On completion of the reaction, the solvent is removed by vacuum distillation and the residue is analyzed by NMR, mass and infrared spectroscopy.

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From these data, the percentage of blocked isocyanate functional group condensed with the 1-octanol is evaluated.

25 Quantitative determination of the isocyanate functional groups:

The standardized method for the quantitative determination of isocyanate functional groups by the 30 "dibutylamine" method is used. Back titration by a standard HCl solution of the N,N-dibutylamine not

consumed by the reaction with the isocyanate functional groups of the mixture to be quantitatively determined. The difference between the N,N-dibutylamine which is reacted and the amount introduced makes it possible to measure the content of isocyanate functional groups of the mixture to be quantitatively determined.

### Determination of the Mn and Mw values of the polymers:

Gel permeation chromatography is used as method for 10 the number-average and weight-average determining molecular weights. Polystyrene standards of used to calibrate qel weight are molecular permeation columns. The elution solvent used is a good solvent for the standard polymers and for the polymers 15 to be analyzed. It is chosen taking into account the constraints introduced by the method for the detection (refractometry analysis polymers or ultraviolet absorption or analysis by infrared). This solvent is chosen from ethers, such as tetrahydrofuran, 20 chlorinated derivatives, such as dichloromethane, and the like.

The elution volume of the polymers to be analyzed is compared with the elution volumes of the 25 polymers and the molecular weight is thus The constituent oligomers eluted therefrom. mixture to be analyzed can also be recovered separately for analysis and characterization by various structural <sup>13</sup>C <sup>1</sup>H NMR, such as NMR, 30 analytical techniques, infrared, and the like.

#### Abbreviations used:

HDI: hexamethylene diisocyanate

35 GPC: gel permeation chromatography

DBA: N, N-dibutylamine

APTEO: aminopropyltriethoxysilane APTMO: aminopropyltrimethoxysilane

#### Synthetic examples

## Example 1: Biuret of hexamethylene diisocyanate (HDI) and of aminopropyltriethoxysilane (APTEO) (CMI 1487)

1680 g of hexamethylene diisocyanate are introduced into a 3 l reactor equipped with a mechanical stirrer, dropping funnels and a reflux condenser and rendered inert with nitrogen. The starting NCO content is 1.19. 10 The temperature of the reaction medium is 18.5°C. 456.5 g of aminopropyltriethoxysilane (APTEO) are then added over one hour. The NCO/amine molar ratio is 10/1. An exothermal drift and the appearance of a white precipitate in the reaction medium are observed. The 15 exothermic reaction is taken advantage of in raising the temperature of the reaction medium to 100°C. The temperature of the reaction medium thus gradually rises: at the end of 10 minutes after the addition, the temperature of the reaction medium is 25°C, 43°C after 20 30 minutes and 93°C after 55 minutes. At the end of one hour, the precipitate observed is virtually entirely soluble in the reaction medium. From the end of the addition, the temperature of the reaction medium is raised to 140°C by contributing external energy. After 25 reacting for two hours at 140°C, the content of isocyanate functional groups of the reaction medium is 0.729 mol of NCO per 100 g of reaction medium.

30 The reaction medium is then purified by 2 successive distillations of the HDI monomer on a thin film device under a vacuum of 0.4 mbar and at 160°C with a throughput of 900 g/hour for the first pass and 250 g/hour for the second pass.

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960 g of a composition formed of biuret of hexamethylene diisocyanate (HDI) and of aminopropyltriethoxysilane (APTEO) are recovered, i.e. a yield of the order of 45%.

The NCO content is 0.364 (i.e., approximately 15.3%) and the viscosity is 2575 mPa $\cdot$ s at 25°C.

5 The silicon content is 3% by weight.

The proton NMR analysis in  $CDCl_3$  medium gives the following distribution of the functional groups:

Units	Moles of units	% by weight of units
Sum of the HDI units	100	74.4
including carbamate	1.3	1.4
including allophanate	0.3	0.5
(EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -N- unit	26	23.6

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The distribution of the biuret units is as follows and is measured with regard to the following signals of the NH groups (at 7.5, 7.0 and 6.7 ppm).

Units	Chemical shift	8
HDI/(EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -N-	7.5 ppm	49
mixed biuret		
True HDI biuret	7.0 ppm	39
Urea	6.7 ppm	12

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The true HDI biuret unit is composed of three hexamethylene chains bonded to the biuret unit via the nitrogens.

20 The mixed biuret is composed of two hexamethylene chains of the HDI and of a propyltriethoxysilane chain which are bonded to the biuret unit via the nitrogens.

A composition is thus obtained which is composed of biuret isocyanate oligomers with different degrees of polymerization composed of HDI and APTEO biuret oligomeric compounds, of true HDI biuret oligomers and of compounds composed of a sequence of true HDI biuret

and of HDI and APTEO biuret.

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The functionality of isocyanate functional group is thus slightly greater than 3 insofar as, during the process, there was formation of true HDI biuret, which is trifunctional.

# Example 2: Biuret of hexamethylene diisocyanate (HDI) and of aminopropyltrimethoxysilane (APTMO) (CMI 1489)

The procedure is as for example 1, except that APTMO is used instead and in place of APTEO.

An  $NCO/NH_2$  molar ratio of 10 is used. 1680 g of HDI and 15 370 g of APTMO are used.

The viscosity of the final composition after distillation of HDI is 3980 mPa·s at 25°C and the NCO content is 0.356, i.e. 15%. The silicon content is 3.8%.

The yield recovered is 45%, i.e. 924 g of final composition, which exhibits the following characteristics.

The proton NMR analysis in  $CDCl_3$  medium gives the following distribution of the functional groups:

Units	Moles of units	% by weight of units
Sum of the HDI units	100	. 70.2
including carbamate	4.2	4
including allophanate	0.7	1.2
(EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -N- unit	28.7	24.6

The distribution of the biuret units is as follows and is measured with regard to the following signals of the NH groups (at 7.5, 7.0 and 6.7 ppm).

Units	Chemical shift	8
HDI/(EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -N-	7.5 ppm	59
mixed biuret		
True HDI biuret	7.0 ppm	30
Urea	6.7 ppm	11

## Example 3: Biuret of hexamethylene diisocyanate (HDI) and of aminopropyltrimethoxysilane (APTMO) (CMI 1539)

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The procedure is as for example 2 with regard to the same amounts of products but slightly modifying the operating conditions; in particular, the heating time is 3 h instead of 2 h.

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927 g of product, i.e. a yield of 45%, are obtained, with an NCO content of 0.342, i.e. 14.4%, and with a viscosity of 5420 mPa·s at 25°C. The silicon content is 3.5%.

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It is found that the process is reproducible.

## Example 4: Biuret of hexamethylene diisocyanate (HDI) and of aminopropyltrimethoxysilane (APTMO) (CMI 1478)

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The procedure is as for examples 2 and 3, except that the batch is separated into two before distillation of the monomer. 1010 g of product are purified by distillation of the HDI monomer.

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430 g of product, i.e. a yield of 42.55%, are obtained, with an NCO content of 0.347, i.e. 14.6%, and with a viscosity of 5090 mPa·s at  $25^{\circ}$ C. The silicon content is 2.5%.

30

The proton NMR analysis in  $CDCl_3$  medium gives the following distribution of the functional groups:

Units	Moles of units	% by weight of units
Sum of the HDI units	100	70.5
including HDI and	2.7	2.2
monomethyl carbamate		
including HDI and	0.6	0.9
methyl allophanate		
(EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -N- unit	29.7	25.5

The distribution of the biuret units measured with regard to the following signals of the NH groups (7.5, 7.0 and 6.7 ppm) is as follows:

Units HDI/(EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -N-	Chemical shift 7.5 ppm	§ 63
mixed biuret		
True HDI biuret	7.0 ppm	28
Urea	6.7 ppm	9

The analysis of the oligomeric distribution by gel permeation gives the following distribution:

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Entities	% by weight
HDI monomer and NCO-propyltrimethoxysilane	0.2
HDI and monomethyl carbamate	0.4
True HDI dimer	1
HDI and monomethyl allophanate	0.6
True 2 HDI/1 (EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -NH- mixed biuret	37.4
HDI-true 2 HDI/1 (EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -NH- mixed	1.8
biuret dimer	
Mixed (3 HDI-2 (EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -NH-) bis biuret	23.2
Mixed (4 HDI-3 (EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -NH-) tris	16
biuret + true HDI biuret sequence	
Mixed (4 HDI-3 (EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -NH-) heavy	19.4
biuret + true HDI biuret sequence	

Example 5: Biuret of hexamethylene diisocyanate (HDI) and of aminopropyltriethoxysilane (APEMO) (CMI 1460)

The procedure is as for example 1, except that the  $\mbox{NCO/NH}_2$  ratio is 7.

765 g of HDI and 287 g of APETO are employed.

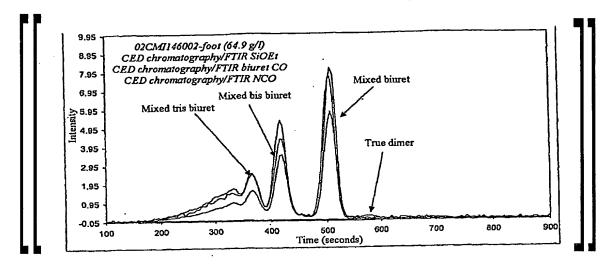
5

After purification, 571 g are obtained, i.e. a yield of 55%.

The NCO content is 0.317, i.e. 13.3%, and the viscosity is 6790 mPa·s at 25°C. The silicon content is 3.5%.

The analysis of the oligomeric distribution is presented in the table below.

	02CMI146002 foot
HDI	<0.1
HDI and ethyl carbamate	0.3
True HDI dimer (trace of	0.5
carbamate)	
Mixed biuret (trace of trimer	30.0
functional group)	
Mixed bis biuret (trace of	24.1
dimer and trimer functional	
group)	
Mixed tris biuret (trace of	16.5
dimer and trimer functional	
group)	
Heavy product (mixed tetra	28.6
biuret) (trace of dimer and	
trimer functional group)	



Example 6: Biuret of hexamethylene diisocyanate (HDI) and of aminopropyltriethoxysilane (APEMO) (CMI 1459)

The procedure is as for example 1, except that the  $\ensuremath{\text{NCO/NH}_2}$  ratio is 10.

 $840\ \mathrm{g}$  of HDI and  $221\ \mathrm{g}$  of APETO are employed.

After purification, 466 g are obtained, i.e. a yield of 44%.

The NCO content is 0.359, i.e. 15%, and the viscosity is 2850 mPa·s at 25°C. The silicon content is 3.35%.

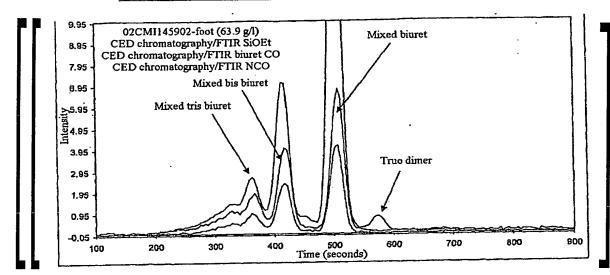
The process is reproducible.

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The analysis of the composition is presented in the 20 table below.

	02CMI145902 foot
HDI	<0.1
HDI and ethyl carbamate	0.3
True HDI dimer	1.2
Mixed biuret (trace of trimer	38.4
functional group)	

Mixed bis biuret (trace of	27.0
dimer and trimer functional	
group)	
Mixed tris biuret (trace of	14.9
dimer and trimer functional	
group)	
Heavy product (mixed tetra	18.2
biuret) (trace of dimer and	
trimer functional group)	



Example 7: Composition formed of polybiuret and polyisocyanurate comprising trialkoxysilane functional group (CMI 1479)

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1010 g of composition from example 4 are introduced into a 3 l reactor equipped with a mechanical stirrer, dropping funnels and a reflux condenser and rendered inert with nitrogen. The NCO content of the reaction 30% (0.716 NCO per 100 g). The reaction medium is 110°C and 10 brought to is medium hexamethyldisilazane (10% by weight) are introduced with stirring. The temperature of the reaction medium 140°C over 50 minutes to brought temperature is maintained for 3 hours. The degree of conversion of the NCO functional groups is regularly measured. It changes as follows: 8.9% at the end of 1 hour of reaction after addition of HMDZ, 10.6% after 1h 30, 14.8% after 2h 30 and 16.8% after 3 hours. The reaction medium is cooled to 100°C over 25 minutes and 4.6 g of 1-butanol are added to the reaction medium in order to halt the cyclotrimerization reaction. The reaction medium is subsequently purified to remove the excess monomer. The same purification method as that described in example 1 is used.

10 484 g of composition formed of polybiuret polyisocyanurate comprising alkoxysilane units are obtained, i.e. a yield of 47%.

The NCO content is 0.369, i.e. 15.5% by weight. The viscosity is 11 800 mPa·s at 25°C.

The oligomeric distribution of the composition thus obtained is presented below.

	0 2
Entities	% by weight
HDI monomer and NCO-propyltrimethoxysilane	0.2
HDI and monomethyl carbamate	0.4
Isocyanatopropyltrialkoxysilane and monomethyl	0.3
carbamate	
True HDI dimer	1.8
HDI and monomethyl allophanate	2
Mixture of true 2 HDI/1 (EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -NH- mixed	32.3
biuret and of true isocyanurate trimer of HDI and of	
true isocyanurate trimer of HDI and of isocyanato-	
propyltrialkoxysilane	
HDI-true 2 HDI/1 (EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -NH- mixed biuret	3.7
dimer	
Mixed (3 HDI-2 (EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -NH-) bis biuret and	19.3
mixed (3 HDI-2 (EtO) $_3$ Si(CH $_2$ ) $_3$ -NH-) biuret - and	
comprising isocyanurate and dimer units	
Heavy products composed of mixed (4 HDI-3	40
(EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -NH-) biuret and true HDI biuret	
sequences and comprising isocyanurate, dimer,	<u></u>

carbamate and allophanate units\*

\*see below an example of structures formed of sequences comprising biuret and isocyanurate units comprising alkoxysilane units.

The proton NMR analysis in  $CDCl_3$  medium gives the following distribution of the functional groups:

Units	Moles of units	% by weight of units
Sum of the HDI units.	100	70.2
including HDI and	4.5	3.7
monomethyl carbamate		
including HDI and	2.9	4.5
methyl allophanate		
including HDI	8.4	5.9
isocyanurate units		
(EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -N- unit	16.8	14.4
Sum of the units	3.6	1.1
resulting from the		
butanol (carbamate		
allophanate)		

The distribution of the biuret units, measured with 10 regard to the following signals of the NH groups (7.5, 7.0 and 6.7 ppm), is as follows:

Units	Chemical shift	96
HDI/(EtO) <sub>3</sub> Si(CH <sub>2</sub> ) <sub>3</sub> -N-	7.5 ppm	39
mixed biuret		
True HDI biuret	7.0 ppm	50
Urea	6.7 ppm	11

Nonlimiting example of structures formed of sequences 15 comprising biuret and isocyanurate units comprising alkoxysilane units

Examples 8 to 10: Examples of the functionalization of the silane biuret compounds.

Example 8: Polyurethane polydimethylsiloxane polybiuret prepolymer comprising trialkoxysilane functional groups (CMI 1488)

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10 100 g of a composition of example 1 and 182 g of a telechelic silicone oil comprising 2 hydroxypropyl functional groups (Rhodorsil V75) are charged to a reactor. The content of isocyanate functional groups is 0.129.

The NCO functional groups/OH functional groups molar ratio is 2.

The mixture is heated to 80°C and the content of 20 isocyanate functional groups is monitored. It changes in the following way:

- after reacting for 2 h 40, the content is 0.113, i.e. a consumption of NCO functional groups of 12.4%,

- after reacting for 4 h, the content is 0.097, i.e. a consumption of NCO functional groups of 24.8%,
- after reacting for 9 h at 80°C, the NCO content is 0.066 (2.77%), i.e. a degree of conversion of 49%.

A composition formed of polyurethane polydimethylsiloxane polybiuret prepolymer comprising pendant trialkoxysilane functional groups with an NCO content of 2.77% is thus obtained. The product is a viscous liquid.

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This product is used as adhesion primer for silicone mastics.

15 <u>Example 9</u>: Polyurethane polybiuret comprising trialkoxysilane functional groups (CMI 1491)

The isocyanate functional groups of a compound of example 2 are blocked by methanol in order to obtain the corresponding methyl carbamate.

100 g of a composition of example 2 and 11.5 g of methanol are introduced into a reactor. The NCO content is 3.320 and the NCO/OH ratio is 1.

- The mixture is heated at 60°C for 4 h. The NCO content measured is 0.055. After a further 6 h at 80°C, the NCO content is 0.017 mol of NCO per 100 g, i.e. 0.71%.
- 30 A composition formed of polybiuret comprising trialkoxysilane functional groups polymethyl carbamate is obtained.
- These compounds can be used to be crosslinked with 35 melamines and make possible better attachment of the pigments to the network thus obtained.

Example 10: Polyurea polybiuret comprising mixed trialkoxysilane functional groups (CMI 1491)

100 g of example 1 are charged to a reactor and then 67.3 g of aminopropyltrimethoxysilane are added over 15 minutes. The NCO/NH $_2$  ratio is equal to 1. The content of NCO functional group is 0.218.

The reaction is exothermic and the temperature of the reaction medium rises to  $100^{\circ}\text{C}$ . After reacting at  $80^{\circ}\text{C}$  for 3 hours, the content of NCO functional groups is 0.

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The reaction mass is subsequently withdrawn at 100°C.

After cooling, the composition obtained gives a nonsticky off-white paste.

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Example 11: Polybiuret comprising mixed trialkoxysilane functional groups and comprising acrylic units and comprising isocyanate functional groups which are free (CMI 1491)

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This composition is a composition of use for systems comprising a threefold crosslinking system:

- crosslinking via isocyanate functional groups with polyol or polyamine compounds comprising a mobile hydrogen,
- crosslinking by a radiative technique (crosslinking under UV radiation or electron gun) with other crosslinkable monomers possessing double bonds,
- 30 crosslinking with silanol or hydroxyl functional groups of inorganic materials (silica, titanium dioxide, zirconia) or alkoxysilanes.

These systems are therefore advantageous for acting as coupling agents between an inorganic material and an organic material.

100 g of composition of example 1 (NCO content of 0.364 mol of NCO per 100 g), 14.1 g of hydroxyethyl

acrylate (HEA), i.e. 0.121 mol, and 114 mg of BHT (2,6-bis(tert-butyl)-4-methylphenol, i.e. 1000 ppm with respect to HEA) are introduced into a reactor.

5 The mixture is heated at 80°C for 12 hours.

The NCO content is measured and changes as follows:

- after 2 h 30, the NCO content is 0.266, i.e. a degree of conversion of NCO of 16.6%,
- 10 after 4 h 30, the NCO content is 0.239, i.e. a degree of conversion of NCO of 25.1%,
  - after 6 h, the NCO content is 0.227, i.e. a degree of conversion of NCO of 29%,
- after 11 h, the NCO content is 0.219, i.e. a degree of conversion of NCO of 31.3%,
  - after 12 h, the NCO content is 0.215, i.e. a degree of conversion of NCO of 32.6%.

The calculated theoretical NCO content is 0.213 mol of NCO per 100 g.

The reaction is thus halted and the composition thus obtained is a liquid composition which exhibits a content of NCO functional group of 9% and a silicon content of 2.6%.

One of the compounds of the composition obtained can thus be written as follows:

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### Comparative example 1:

100 g of Tolonate HDT (HDI polyisocyanurate sold by Rhodia) with a content of NCO functional groups of 0.52 mol per 100 g are introduced into a reactor equipped as in example 1. 115 g of aminopropyltriethoxysilane (i.e. 0.52 mol) are run in over 1 hour. The NCO/NH $_2$  ratio is 1.

- An immediate precipitation of urea formed from Tolonate HDT and from aminopropyltriethoxysilane is observed, with release of a considerable amount of heat. The mixture becomes difficult to stir.
- The product is a solid which is difficult to handle and which is not very soluble in organic solvents, such as Solvesso or esters.

### Comparative example 2:

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The procedure is as for comparative example 1, except that aminopropyltrimethoxysilane (93 g) is used as silane. Compounds are thus obtained which precipitate and which are sparingly soluble in organic solvents.

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## Applicational results obtained with the compounds of the invention

The compounds of the invention have been used with 30 success in 4 fields of application:

- coatings, paints and varnishes,
- silicone mastics and adhesion primers on various surfaces,
- heavy-weight tires,
- 35 polyamide fiber coatings.

## WHAT IS CLAIMED IS:

- A polyisocyanate composition comprising at least two 1. distinct oligomeric compounds comprising at least units chosen and at 5 most 5 three units diamino units and at aminoalkylsilane units and least one functional group chosen from isocyanate from those which derive and functional groups said one of characterized in that therefrom, compounds comprises at least two aminoalkylsilane 10 units and in that another comprises at least two diamino units.
- 2. The composition as claimed in claim 1, characterized in that the ratio of the aminoalkylsilane units to the diamino units is at least equal to 15%.
- 3. The composition as claimed in claims 1 and 2, characterized in that said oligomeric compounds each represent at least 3%, advantageously at least 5%, preferably at least 8%.
- 4. The composition as claimed in claims 1 to 3, characterized in that said oligomeric compounds each represent at most 2/3, advantageously, preferably 1/3, by weight of the composition.
- claimed in claims 1 The composition as 5. characterized in that, with regard to the combined oligomeric compounds (that is to say, oligomeric 30 compounds comprising at least three units and at most 5 units chosen from aminoalkylsilane units and diamino units and at least one functional group chosen from isocyanate functional groups and from derive therefrom), the compounds those which 35 which the aminoalkylsilane units represent at least

two fifths of the units under consideration (aminoalkylsilane units and diamino units) form at least 1/5 of the mixture.

- composition as claimed in claims 5, 5 6. The characterized in that said functional groups which derive from the isocyanate functional groups are carbamate, uretidinedione, the chosen from allophanate, biuret, isocyanurate, pseudoallophanate, 4,6-dioxo-2-iminohexahydro-1,3,5-10 iminooxadiazinedione and 2-imino-4-oxotriazine, 1,3-diazetidine functional groups.
- 7. The composition as claimed in claims 1 to 6, characterized in that said aminoalkylsilane unit corresponds to the formula I:

$$H_2N$$
—R1 $^{-}\Xi^{-Si}$  ( $R_2$ )m ( $X-R_3$ )n

where E represents either a single bond or a chalcogen, preferably an oxygen;

where m represents an integer within the closed range (that is to say comprising the limits) 0 to 3, advantageously at least equal to 2, preferably to 3; where n represents an integer within the closed range (that is to say comprising the limits) 0 to 3, advantageously at most equal to 2;

with the condition that m+n=3;

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where  $R_2$  represents a linear or branched hydrocarbon chain of 1 to 20 carbon atoms, preferably of 1 to 12 carbon atoms, it being possible for this hydrocarbon chain to be aliphatic, including aralkyl, or aromatic, optionally interrupted by heteroatoms, it being possible for the  $R_2$  chain to be of alkylene type if the two terminal carbons of this chain are bonded to the silicon;

where  $R_3$  represents a linear or branched hydrocarbon chain of 1 to 20 carbon atoms, preferably of 1 to 12 carbon atoms, it being possible for this hydrocarbon chain to be aliphatic or aromatic or aralkyl, optionally interrupted by heteroatoms, it being possible for the  $R_3$  chain to be of alkylene type if the two terminal carbons of this chain are bonded to two groups X carried by the same silicon atom; where X = 0 or S.

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- 8. The composition as claimed in claims 1 to 7, characterized in that said compounds are compounds comprising a biuret functional group.
- in claims 8, composition claimed 1 to as 15 9. The of biuret content characterized in that its functional group (>N-CO-N(-)-CO-N<, MW = 84) is at least equal to 5%, advantageously to 8%, preferably to 10%.

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- claims 1 to 9, composition claimed in as The 10. of biuret its content in that characterized (>N-CO-N(-)-CO-N<, MW = 84) is at functional group most equal to 20%, advantageously to 18%, preferably to 16%.
  - 11. The composition as claimed in claims 1 to 10, characterized in that it exhibits a content of isocyanate functional group (free and blocked) at least equal to 5%, advantageously to 8%, preferably to 10%, more preferably to 12%.
- 12. The composition as claimed in claims 1 to 11, characterized in that it exhibits a content of free isocyanate functional group at least equal to 5%, advantageously to 8%, preferably to 10%, more

preferably to 12%.

- 13. The composition as claimed in claims 1 to 11, characterized in that it exhibits a content of blocked isocyanate functional group at least equal to 5%, advantageously to 8%, preferably to 10%, more preferably to 12%.
- 14. The composition as claimed in claims 1 to 13, characterized in that it exhibits a viscosity at most equal to 6000 mPa·s.
- 15. The composition as claimed in claims 1 to 14, characterized in that it comprises at most 2%, advantageously at most 1%, preferably at most 0.5%, by weight of isocyanate monomer (diisocyanatoalkane).
- 16. The composition as claimed in claims 1 to 15, characterized in that it comprises at most 2%, advantageously at most 1%, preferably at most 0.5%, by weight of isocyanatoalkylsilane (corresponding to the aminoalkylsilane).
- the preparation of isocyanate an process for 25 17. biuret functional composition comprising least isocyanate at one characterized that in brought into contact with is monomer so that aminoalkylsilane (or silanoalkylamine) isocyanatoalkylsilane is formed. 30
- 18. The process as claimed in claim 17, characterized in that at least 5%, advantageously 7%, preferably 10%, of biuret functional groups not carrying a silanoalkyl chain are formed (with respect to the combined biuret functional groups).

19. The process as claimed in claims 17 and 18, characterized in that, expressed as equivalents, the ratio of the isocyanate functional groups to the number of hydrogens carried by the amine functional groups is at least 4, advantageously at least 6, preferably at least eight.

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## ABSTRACT OF THE DISCLOSURE

SYNTHESIS OF BIURETS AND ISOCYANATES WITH ALKOXYSILANE FUNCTIONS, FORMULATIONS CONTAINING SAME AND USE THEREOF

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The invention relates to a composition and a method of preparing one such composition and an isocyanatoalkoxysilane; the polyisocyanate composition contains at least two different oligomeric compounds comprising at least three units and at most five units selected from aminoalkylsilane units and diamine units and at least one function selected from isocyanate functions and from those derived therefrom, the aforementioned compounds having at least two aminoalkylsilane units and another compound having at least two diamine units; the invention is suitable for coatings.